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## A solvable master equation for population inversion

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**Abstract.** The master equation derived for population inversion in a three-level model is solved exactly. The time-dependent behaviour of the process can then be discussed.

Recently, in discussing the problem of the double-reservoir and negative temperature, a master equation has been derived for the three-level model consisting of  $N$  three-level atoms embedded in a crystal lattice heat bath and interacting with a pump lamp heat bath (Zheng and Peng 1982). In terms of the boson representation operators  $a_j^{(\nu)+}$ ,  $a_j^{(\nu)}$  for a three-level atom (Rai and Mehta 1982), the creation and annihilation operators for pumping field photons of mode  $\alpha$  and those for lattice phonons of mode  $\beta$ , the Hamiltonian can be written as

$$H = H_0 + V, \tag{1a}$$

$$H_0 = H_A + H_c + H_p = \sum_{\nu=1}^N \sum_{j=1}^3 a_j^{(\nu)+} a_j^{(\nu)} \hbar \omega_j + \sum_{\alpha} \hbar \Omega_p^{(\alpha)} \mathcal{O}_p^{(\alpha)+} \mathcal{O}_p^{(\alpha)} + \sum_{\beta} \hbar \Omega_c^{(\beta)} \mathcal{O}_c^{(\beta)+} \mathcal{O}_c^{(\beta)} \tag{1b}$$

with

$$\omega_3 > \omega_2 > \omega_1,$$

$$V = \sum_{\nu=1}^N \sum_{\alpha} g_{\alpha} (a_3^{(\nu)+} a_1^{(\nu)} + a_1^{(\nu)+} a_3^{(\nu)}) (\mathcal{O}_p^{(\alpha)+} + \mathcal{O}_p^{(\alpha)}) + \sum_{\nu=1}^N \sum_{\beta} g_{\beta} (a_2^{(\nu)+} a_2^{(\nu)} + a_1^{(\nu)+} a_2^{(\nu)}) (\mathcal{O}_c^{(\beta)+} + \mathcal{O}_c^{(\beta)}) \tag{1c}$$

where we concentrate our attention only on the formation of population inversion.

Under the standard approximation that the relaxation times of the phonon bath and photon bath are much smaller than that of the atomic system, we can always take

$$\langle \mathcal{O}_p^{(\alpha)+} \mathcal{O}_p^{(\alpha)} \rangle = \bar{n}_p^{(\alpha)} = [\exp(\beta_p \hbar \Omega_p^{(\alpha)}) - 1]^{-1}, \tag{2a}$$

$$\langle \mathcal{O}_c^{(\beta)+} \mathcal{O}_c^{(\beta)} \rangle = \bar{n}_c^{(\beta)} = [\exp(\beta_c \hbar \Omega_c^{(\beta)}) - 1]^{-1}, \tag{2b}$$

where  $\beta_p, \beta_c$  are the reciprocal temperatures of the reservoirs.

By calculating the transition probabilities with

$$w = (2\pi/\hbar) |\langle f|V|i\rangle|^2 \delta(E_f^0 - E_i^0) \tag{3}$$

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Pauli's master equations can be derived (Van Hove 1960, Landau and Teller 1936, and Bloch 1957). The procedure of deriving Pauli's equation for the above model is similar to that for a spin-lattice system (Zheng and Schieve 1982).

For the three-level model, Pauli's equation for the probabilities  $P(N_1, N_2, N_3; t)$  of  $N_1, N_2$  and  $N_3$  electrons being in level 1, 2 and 3 at time  $t$  can be written as

$$\begin{aligned}
 (\partial/\partial t)P(N_1, N_2, N_3; t) &= q_p(N_3+1)P(N_1-1, N_2, N_3+1; t) + p_p(N_1+1)P(N_1+1, N_2, N_3-1; t) \\
 &+ q_c(N_3+1)P(N_1, N_2-1, N_3+1; t) + p_c(N_2+1)P(N_1, N_2+1, N_3-1; t) \\
 &- (q_p N_3 + q_c N_3 + p_p N_1 + p_c N_2)P(N_1, N_2, N_3; t)
 \end{aligned} \tag{4}$$

with

$$\begin{aligned}
 p_p &= (2\pi/\hbar^2)g_{\Omega_{31}}^2\rho_p(\Omega_{31}) \exp(-\beta_p\hbar\Omega_{31}/2)/\sinh(\beta_p\hbar\Omega_{31}/2), \\
 q_p &= (2\pi/\hbar^2)g_{\Omega_{31}}^2\rho_p(\Omega_{31}) \exp(\beta_p\hbar\Omega_{31}/2)/\sinh(\beta_p\hbar\Omega_{31}/2),
 \end{aligned} \tag{5}$$

and similar formulae for  $p_c$  and  $q_c$ , where  $\rho_p(\Omega)$  is the density of states for the pumping photons.

Defining the generating function

$$G(x, y, z; t) = \sum_{N_1, N_2, N_3} P(N_1, N_2, N_3; t)x^{N_1}y^{N_2}z^{N_3}, \tag{6}$$

we have from equation (4)

$$\partial G/\partial t = [q_p(x-z) + q_c(y-z)](\partial G/\partial z) + p_p(z-x)(\partial G/\partial x) + p_c(z-y)(\partial G/\partial y). \tag{7}$$

Its characteristic equations are

$$dx/p_p(z-x) = dy/p_c(z-y) = dz/[q_p(x-z) + q_c(y-z)] = -dt. \tag{8}$$

One first integral can be easily found as

$$\nu_p x + \nu_c y + z = c_0 \tag{9}$$

with

$$\nu_p = q_p/p_p = \exp(\beta_p\hbar\Omega_{31}), \quad \nu_c = q_c/p_c = \exp(\beta_c\hbar\Omega_{21}). \tag{10}$$

Let

$$U = p_p(\nu_p + 1)x + p_p\nu_c y - p_p c_0, \quad V = p_c\nu_p x + p_c(\nu_c + 1)y - p_c c_0. \tag{11a, b}$$

Therefore, from equations (8) and (9) we have

$$dt = dx/U = dy/V = (m dx + n dy)/(mU + nV). \tag{12}$$

To integrate equations (8) we require  $m$  and  $n$  to be such that equation (12) can be written in the form (Davis 1960)

$$dt = (m dx + n dy)/[\lambda(mx + ny) + r]. \tag{13}$$

The equations determining  $m, n, \lambda$  and  $r$  from equations (12) and (13) are

$$p_p(\nu_p + 1)m + p_c\nu_p n = \lambda m, \tag{14}$$

$$p_p\nu_c m + p_c(\nu_c + 1)n = \lambda n, \tag{15}$$

$$r = -(mp_p + np_c)c_0. \tag{16}$$

We obtain

$$\lambda_{1,2} = \frac{1}{2} \{ [p_p(\nu_p + 1) + p_c(\nu_c + 1)] \pm \{ [p_p(\nu_p + 1) + p_c(\nu_c + 1)]^2 - 4p_p p_c(\nu_p + \nu_c + 1) \}^{1/2} \}, \quad (17)$$

$$m_i = p_c \nu_p, \quad n_i = \lambda_i - p_p(\nu_p + 1) \quad (i = 1, 2) \quad (18)^\dagger$$

$$r_i = -(m_i p_p + n_i p_c) c_0 \equiv k_i c_0 \lambda_i. \quad (19)$$

It is easy to verify that both  $\lambda_1$  and  $\lambda_2$  are positive. The other two integrals are then given from equation (13) as

$$\exp(-\lambda_i t) [\lambda_i(m_i x + n_i y) + r_i] = c_i \quad (i = 1, 2). \quad (20)$$

If we assume that at  $t = 0$  all the  $N$  electrons are in level 1 the initial condition for  $G$  is then

$$G(x, y, z; 0) = x^N. \quad (21)$$

Finally, we obtain

$$G(x, y, z; t) = \{ [ (n_1 k_2 - n_2 k_1)(\nu_p + \nu_c + 1) ]^{-1} \{ (n_2 / \lambda_1) \exp(-\lambda_1 t) [\lambda_1(m_1 x + n_1 y) + r_1] - (n_1 / \lambda_2) \exp(-\lambda_2 t) [\lambda_2(m_2 x + n_2 y) + r_2] - (n_2 k_1 - n_1 k_2)(\nu_p x + \nu_c y + z) \} \} \}^N, \quad (22)$$

where we have used the normalisation condition, i.e.  $G(1, 1, 1; t) = 1$ . When time  $t$  goes to infinity,  $G(x, y, z; t)$  approaches

$$G_s(x, y, z) = \left( \frac{\nu_p x + \nu_c y + z}{\nu_p + \nu_c + 1} \right)^N, \quad (23)$$

which gives in the stationary state

$$\langle N_2 \rangle / \langle N_1 \rangle = \partial_y G_s / \partial_x G_s |_{x=y=z=1} = \nu_c / \nu_p = \exp[\hbar(\beta_c \Omega_{21} - \beta_p \Omega_{31})]. \quad (24)$$

When the condition  $\beta_c \Omega_{21} > \beta_p \Omega_{31}$  holds, then population inversion occurs. To discuss the time-dependent behaviour we can use equation (22). For example, we have

$$\langle N_1(t) \rangle = \frac{N}{\nu_p + \nu_c + 1} \left( \nu_p + \frac{1}{n_1 k_2 - n_2 k_1} [n_2 m_1 \exp(-\lambda_1 t) - n_1 m_2 \exp(-\lambda_2 t)] \right), \quad (25)$$

and the variance of  $N_1$

$$\langle N_1^2(t) \rangle - \langle N_1(t) \rangle^2 = \partial_x^2 G + \partial_x G - (\partial_x G)^2 |_{x=y=z=1} = \langle N_1(t) \rangle (1 - \langle N_1(t) \rangle / N). \quad (26)$$

Furthermore, correlation functions and higher moments can be calculated in a similar way.

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<sup>†</sup>  $m_i$  and  $n_i$  are not uniquely determined, but the arbitrary constant of proportionality can be absorbed into the normalisation factor (see equation (22)).

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